

1. The First Variation of Area

The mean curvature of a surface S is the *gradient of surface area*. I.e. the surface area of S decreases fastest when it is deformed by H in the unit normal direction. To see this: let $\phi : \mathcal{U} \rightarrow \mathbb{R}^3$ parametrize S and let $f : \mathcal{U} \rightarrow \mathbb{R}$ be a function. Then $\phi_\varepsilon := \phi + \varepsilon f \cdot N$ parametrizes a small deformation of S when ε is sufficiently small. Here N is the unit normal vector field — note that a large class of nearby surfaces can be parametrized in this way (think about this!). Denote the deformed surface by S_ε .

We'd like to differentiate the quantity $(Area)(S_\varepsilon)$ and see what comes out. Let $g_\varepsilon(u) := [D\phi_\varepsilon]_u^T [D\phi_\varepsilon]_u$ and $g := g_0$. Then we can express the area of $\phi_\varepsilon(\mathcal{U})$ as

$$\text{Area}(\phi_\varepsilon(\mathcal{U})) = \int_{\mathcal{U}} \sqrt{\det(g_\varepsilon(u))} du.$$

To differentiate this, we'll need a formula for the derivative of a determinant. The formula we'll use is a "standard" result but that you may not have seen yet. Suppose A_ε is a differentiable family of invertible matrices. Then

$$\frac{d}{d\varepsilon} \det(A_\varepsilon) = \det(A_\varepsilon) \text{Tr} \left(A_\varepsilon^{-1} \frac{dA_\varepsilon}{d\varepsilon} \right).$$

A way to re-derive this formula in case you forget is to assume A_ε is diagonal and apply the product rule to the determinant of A_ε , which is the product of the eigenvalues of A_ε . (The actual proof is close to this.) Now we have

$$\begin{aligned} \left. \frac{d}{d\varepsilon} \text{Area}(\phi_\varepsilon(\mathcal{U})) \right|_{\varepsilon=0} &= \left. \frac{d}{d\varepsilon} \int_{\mathcal{U}} \sqrt{\det(g_\varepsilon(u))} du \right|_{\varepsilon=0} \\ &= \frac{1}{2} \int_{\mathcal{U}} \text{Tr} \left(g^{-1} \left. \frac{dg_\varepsilon(u)}{d\varepsilon} \right|_{\varepsilon=0} \right) \sqrt{\det(g(u))} du. \end{aligned}$$

To finish, we need to differentiate g_ε . Recall that $[g_\varepsilon]_{ij} = \langle E_i(\varepsilon), E_j(\varepsilon) \rangle$ where

$$E_i(\varepsilon) = \frac{\partial \phi_\varepsilon}{\partial u^i} = E_i(0) + \varepsilon \frac{\partial f}{\partial u^i} N + \varepsilon f(u) \frac{\partial N}{\partial u^i}$$

are the coordinate vector fields. Hence

$$[g_\varepsilon]_{ij} = [g_0]_{ij} + \varepsilon f \left(\left\langle E_i(0), \frac{\partial N}{\partial u^j} \right\rangle + \left\langle \frac{\partial N}{\partial u^i}, E_j(0) \right\rangle \right) + \mathcal{O}(\varepsilon^2) = [g_0]_{ij} + 2\varepsilon f A_{ij} + \mathcal{O}(\varepsilon^2)$$

by the self-adjointness of the second fundamental form A of S at u in the parameter domain \mathcal{U} . We've kept track of g_ε up to first order in ε only because we'll eventually take the derivative and then set $\varepsilon = 0$. In fact, we get

$$\left. \frac{d}{d\varepsilon} \text{Area}(\phi_\varepsilon(\mathcal{U})) \right|_{\varepsilon=0} = \int_{\mathcal{U}} f(u) \text{Tr}([g(u)]^{-1} A(u)) \sqrt{\det(g(u))} du = \int_{\mathcal{U}} f(u) H(u) \sqrt{\det(g(u))} du.$$

There's a small technicality at work here: the mean curvature is actually the sum of the eigenvalues of the shape operator T which we defined indirectly by the formula $\langle T(E_i), E_j \rangle = A_{ij}$. As mentioned in a previous document, the eigenvalues of T are not equal to those of A unless $\{E_i\}$ is an orthonormal basis. But we can show that the eigenvalues of T are equal to those of $g^{-1}A$. The matrix g^{-1} corrects for the non-orthonormality of the basis.