## Linear-Size Approximate Voronoi Diagrams\*

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### Abstract

Given a set S of n points in  $\mathbb{R}^d$ , a  $(t, \epsilon)$ -approximate Voronoi diagram (AVD) is a partition of space into constant complexity cells, where each cell c is associated with trepresentative points of S, such that for any point in c, one of the associated representatives approximates the nearest neighbor to within a factor of  $(1+\epsilon)$ . The goal is to minimize the number and complexity of the cells in the AVD. We show that it is possible to construct an AVD consisting of  $O(n/\epsilon^d)$ cells for t=1, and O(n) cells for  $t=O(1/\epsilon^{(d-1)/2})$ . In general, for a real parameter  $2 \le \gamma \le 1/\epsilon$ , we show that it is possible to construct a  $(t, \epsilon)$ -AVD consisting of  $O(n\gamma^d)$ cells for  $t = O(1/(\epsilon \gamma)^{(d-1)/2})$ . The cells in these AVDs are cubes or differences of two cubes. All these structures can be used to efficiently answer approximate nearest neighbor queries. Our algorithms are based on the well-separated pair decomposition and are very simple.

### 1 Introduction

Given a set S of n points in  $\mathbb{R}^d$ , the Voronoi diagram is a partition of space into cells, such that each cell consists of all points closer to a particular point of S than to any other. Voronoi diagrams are fundamental geometric objects and have a rich literature. They have numerous applications in areas such as pattern recognition and classification, machine learning, robotics, and graphics. Many of these applications are in high dimensions but, unfortunately, the complexity of Voronoi diagrams can be as high as  $n^{\lceil d/2 \rceil}$  in d dimensions. This has led researchers to investigate the problem of constructing subdivisions that approximate the Voronoi diagram.

Vleugels and Overmars [12] presented an algorithm for approximating the Voronoi diagram of a disjoint set of convex sites in  $\mathbb{R}^d$ , and applied it to retraction motion planning. Their focus is on practical applicability, rather than on obtaining good asymptotic bounds. Har-Peled [7] considered this problem from the perspective of worst-case size, when the input is a set of points. Before stating his result, we present some definitions.

For a real parameter  $\epsilon > 0$ , we say that a point  $p \in S$  is an  $\epsilon$ -nearest neighbor  $(\epsilon - NN)$  of a point  $q \in \mathbb{R}^d$ , if the distance between q and p is at most  $(1 + \epsilon)$ times the distance between q and its nearest neighbor in S. We assume that distances are measured in the Euclidean metric. An approximate Voronoi diagram (AVD) of S is defined to be a partition of space into cells, where each cell c is associated with a representative  $r_c \in S$ , such that  $r_c$  is an  $\epsilon$ -NN for all the points in c [7]. We generalize this idea in a natural way to allow for t > 1 representatives to be stored with each cell, and require that for any point in the cell, one of these t representatives is an  $\epsilon$ -NN. We refer to such a decomposition as a  $(t, \epsilon)$ -approximate Voronoi diagram. The goal is to minimize the size (i.e., the number of cells) of the AVD. Throughout, we will require that the cells in the AVD have constant combinatorial complexity.

Har-Peled [7] showed that it is possible to construct a  $(1,\epsilon)$ -AVD of  $O(\frac{n}{\epsilon^d}(\log n)\log\frac{n}{\epsilon})$  size. A cell in this subdivision is the difference of two cubes (the inner cube is optional). Moreover, after preprocessing, the structure can be used to answer  $\epsilon$ -NN queries in  $O(\log(n/\epsilon))$  time, where the constant factor is only quadratic in dimension. This is a significantly better query time than that achieved by any previous algorithm. Recently, Sabharwal et al. [11] have given an alternative construction that reduces the size by a logarithmic factor.

In this paper we present the following results. First, we show that it is possible to construct a  $(1,\epsilon)$ -AVD of  $O(n/\epsilon^d)$  size, which significantly improves upon the results mentioned above. Our construction is based on the well-separated pair decomposition [3] and is much simpler. As in Har-Peled's construction, a cell in this subdivision is the difference of two axis-aligned cubes, and  $\epsilon$ -NN queries can be answered using this structure in  $O(\log(n/\epsilon))$  time. We also present a lower bound of  $\Omega(n/\epsilon^{d-1})$  on the size of a  $(1,\epsilon)$ -AVD, assuming that the cells are differences of two axisaligned hyperrectangles. Thus, under this assumption, the size of our construction is nearly optimal.

Second, we generalize our construction to tackle the case when more than one representative is allowed. Given a real parameter  $2 \le \gamma \le 1/\epsilon$ , we show that it is possible to construct a  $(t,\epsilon)$ -AVD of  $O(n\gamma^d)$  size, where  $t = O(1/(\epsilon\gamma)^{(d-1)/2})$ . As a byproduct of our approach,

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we obtain a family of data structures that can answer  $\epsilon$ -NN queries in  $O(\log(n\gamma) + 1/(\epsilon\gamma)^{(d-1)/2})$  time using space  $O(n(\gamma/\epsilon)^{(d-1)/2}\gamma)$ . Chan [4] showed that  $\epsilon$ -NN queries could be answered in  $O((1/\epsilon)^{(d-1)/2}\log n)$  time using a data structure of space  $O((1/\epsilon)^{(d-1)/2}n\log n)$ . By setting  $\gamma$  to two, we obtain a data structure that answers queries in  $O(\log n + 1/\epsilon^{(d-1)/2})$  time using space  $O((1/\epsilon)^{(d-1)/2}n)$ . Thereby, we improve upon Chan's result, both in terms of space and query time.

We mention some other tradeoffs between space and query time that are known for the approximate nearest neighbor problem. Arya et al. [2] and, later, Duncan et al. [6] provided data structures that achieve  $O((1/\epsilon)^d \log n)$  query time and use O(n) space (independent of  $\epsilon$ ). Recently, Kushilevitz et al. [9] and Indyk and Motwani [8] have obtained algorithms that eliminate exponential dependencies on dimension in both query time and space. The space required by their algorithms is polynomial in d and n, and the query time is polynomial in  $\log n$ , d, and  $1/\epsilon$ . However, the space grows exponentially with  $1/\epsilon$ .

### 2 Preliminaries

Throughout we assume that the dimension d is a fixed constant, and the constants hidden in the asymptotic bounds may depend on d (but not on  $\epsilon$  or  $\gamma$ ).

Let x and y denote any two points in  $\mathbb{R}^d$ . We use |xy| to denote the Euclidean distance between x and y,  $\overline{xy}$  to denote the segment joining x and y, and  $\overline{xy}$  to denote the vector from x to y.

We denote by b(x,r) a ball of radius r centered at x, i.e,  $b(x,r) = \{y : |xy| \le r\}$ . For a ball b and any positive real  $\gamma$ , we use  $\gamma b$  to denote the ball with the same center as b and whose radius is  $\gamma$  times the radius of b, and  $\overline{b}$  to denote the set of points that are not in b.

Let X and X' be two sets of points. We say that X' is  $\delta$ -dense for X if, for any point  $x \in X$ , there is a point  $x' \in X'$  such that  $|xx'| \leq \delta$ .

We briefly review the notions of well-separated pair decomposition and balanced box-decomposition trees, as they play an important role in our constructions.

The well-separated pair decomposition. Let S be a set of n points in  $\mathbb{R}^d$ . We say that two sets of points X and Y are well-separated if they can be enclosed within two disjoint d-dimensional balls of radius r, such that the distance between the centers of these balls is at least  $\alpha r$ , where  $\alpha \geq 2$  is a real parameter called the separation factor. A well-separated pair decomposition (WSPD) of S is a set  $\mathcal{P}_{S,\alpha} = \{(X_1, Y_1), \dots, (X_m, Y_m)\}$  of pairs of subsets of S such that (i) for  $1 \leq i \leq m$ ,  $X_i$  and  $Y_i$  are well-separated and (ii) for any distinct points  $x, y \in S$ , there exists a unique pair  $(X_i, Y_i)$  such that either  $x \in X_i$  and

 $y \in Y_i$  or  $x \in Y_i$  and  $y \in X_i$ . (We say that the pair  $(X_i, Y_i)$  separates x and y.) Callahan and Kosaraju [3] have shown that we can construct a WSPD containing  $O(\alpha^d n)$  pairs in  $O(n \log n + \alpha^d n)$  time. For each pair, their construction also provides the d-balls enclosing  $X_i$  and  $Y_i$  satisfying the separation criteria mentioned above

The BBD tree. Let  $U = [0,1]^d$  denote a unit hypercube in  $\mathbb{R}^d$ . We define a quadtree box recursively as follows: (i) U is a quadtree box, and (ii) any hypercube obtained by splitting a quadtree box into  $2^d$  equal parts is a quadtree box. The size of a quadtree box is its side length. A nice property of quadtree boxes is that any two quadtree boxes are either disjoint or one is contained inside the other.

The balanced box-decomposition (BBD) tree is a balanced  $2^d$ -ary tree that compactly represents a hierarchical decomposition of space [2]. Each node of the tree is associated with a region of space called a cell, which is the difference of two quadtree boxes, an outer box and an (optional) inner box. The root of the tree is associated with U. The cell associated with any node is partitioned into disjoint cells, which are associated with the children of the node. (For details see [2].) We define the size of a cell to be same as the size of its outer box.

We will use the following facts in this paper. Given any collection  $\mathcal{C}$  of quadtree boxes, we can store them in a BBD tree having  $O(|\mathcal{C}|)$  nodes and  $O(\log |\mathcal{C}|)$  depth. The time to construct this tree is  $O(|\mathcal{C}|\log |\mathcal{C}|)$ . The subdivision induced by its leaves is a refinement of the subdivision induced by the quadtree boxes in  $\mathcal{C}$  and, for any point q, we can determine the leaf containing q in time proportional to the depth of the tree.

## 3 Approximate Voronoi Diagrams: Single Representative

Let S be a set of n points, and let  $0 < \epsilon \le 1/2$  be a real parameter. In this section, we show how to construct a  $(1, \epsilon)$ -approximate Voronoi diagram for S.

Let U' be a hypercube that encloses S, such that the distance of any point in S from the boundary of U' is at least  $D/\epsilon$ , where D is the diameter of S. Note that for a query point outside U', any point in S works as an  $\epsilon$ -NN, so it suffices to show how to construct the approximate Voronoi diagram inside U'. It will be convenient to assume that  $U' = U = [0,1]^d$ , which can be easily ensured by scaling and translating the coordinate system.

We construct a WSPD  $\mathcal{P}_{S,\alpha}$  for S, using separation factor  $\alpha = 8$ . Note that the number of pairs in  $\mathcal{P}_{S,8}$  is O(n). For each pair  $P \in \mathcal{P}_{S,8}$ , we compute a set of quadtree boxes  $\mathcal{C}_P$  as follows. Let P = (X,Y) and let x and y denote the centers of the balls enclosing X

and Y, respectively, that satisfy the separation criteria. Let  $\ell = |xy|$ , and let  $\mathcal{B}_P$  denote the set of balls of radius  $2^i\ell$  for  $-2 \leq i \leq \lceil \log(1/\epsilon) + 1 \rceil$ , centered at x and y. For a ball  $b \in \mathcal{B}_P$ , let  $\mathcal{C}_b$  be the set of quadtree boxes overlapping b that have the largest size not exceeding  $r_b\epsilon/(16d)$ , where  $r_b$  denotes the radius of b. Note that  $|\mathcal{C}_b| = O(1/\epsilon^d)$ . Let  $\mathcal{C}_P = \bigcup_{b \in \mathcal{B}_P} \mathcal{C}_b$  and  $\mathcal{C} = \bigcup_{P \in \mathcal{P}_{S,8}} \mathcal{C}_P$ . Clearly  $|\mathcal{C}_P| = O(\frac{1}{\epsilon^d} \log \frac{1}{\epsilon})$  and  $|\mathcal{C}| = O(\frac{n}{\epsilon^d} \log \frac{1}{\epsilon})$ . Finally we store all the quadtree boxes in  $\mathcal{C}$  in a BBD tree T. Note that the depth of T is  $O(\log(n/\epsilon))$ . With each leaf cell of T, we store a representative which is an  $(\epsilon/4)$ -NN of any point inside it.

We claim that the subdivision associated with the leaves of T along with the stored representatives is a  $(1, \epsilon)$ -approximate Voronoi diagram for S. The proof of this claim employs the following lemma.

LEMMA 3.1. Let S be a set of n points in  $\mathbb{R}^d$  and let  $0 < \epsilon \le 1/2$  be a real parameter. Let  $x_1$  be a point inside a d-cube c of size  $(\epsilon/(4d)) \cdot |x_1y_1|$ , where  $y_1$  denotes the nearest neighbor of  $x_1$ . If  $y_2$  is an  $(\epsilon/4)$ -NN of some point  $x_2$  inside c, then  $y_2$  is an  $\epsilon$ -NN of  $x_1$ .

**Proof** Note that the diameter  $\delta$  of cube c is no more that  $(\epsilon/4) \cdot |x_1y_1|$ . By the triangle inequality, we get

$$|x_1y_2| < |x_2y_2| + \delta.$$

Since  $y_2$  is an  $(\epsilon/4)$ -NN of  $x_2$ , it follows that

$$|x_2y_2| < (1 + \epsilon/4) \cdot |x_2y_1|.$$

Again, by the triangle inequality, we get

$$|x_2y_1| \le |x_1y_1| + \delta.$$

Using Eqs. (3.1), (3.2), and (3.3), and the bound on  $\delta$ , we get  $|x_1y_2| \leq (1 + 3\epsilon/4 + \epsilon^2/16) \cdot |x_1y_1|$ . Since  $\epsilon \leq 1/2$ , it follows that  $|x_1y_2| \leq (1 + \epsilon) \cdot |x_1y_1|$ .

LEMMA 3.2. The subdivision formed by the leaves of T, along with the representatives stored with the leaves, is a  $(1, \epsilon)$ -approximate Voronoi diagram for S.

**Proof** In view of the transformation of the coordinate system mentioned above, all the points of S can be assumed to lie inside  $U = [0,1]^d$ . Let  $q \in U$  be an arbitrary point. Let c denote the leaf cell that contains q and let x denote the representative stored with c. Let  $y \in S$  denote the nearest neighbor of q. We will show that if  $x \neq y$ , then x is an  $\epsilon$ -NN of q.

Let P = (X, Y) be the pair in the WSPD  $\mathcal{P}_{S,8}$  that separates x and y. Without loss of generality, assume

that  $x \in X$  and  $y \in Y$ . Let x' and y' denote the centers of the balls enclosing X and Y, respectively, that satisfy the separation criteria. Recall that the radius of these balls is at most  $\ell/8$ , where  $\ell = |x'y'|$ . We distinguish three cases: (1)  $|qy'| \geq 2\ell/\epsilon$ , (2)  $\ell/4 \leq |qy'| < 2\ell/\epsilon$ , and (3)  $|qy'| < \ell/4$ .

Case 1:  $|qy'| \geq 2\ell/\epsilon$ .

By the triangle inequality, we get  $|qx| \leq |qy'| + |y'x'| + |x'x| \leq |qy'| + 9\ell/8$ , and  $|qy| \geq |qy'| - |y'y| \geq |qy'| - \ell/8$ . Using the facts that  $0 < \epsilon \leq 1/2$ , and  $|qy'| \geq 2\ell/\epsilon$ , we can now easily show that  $|qx| \leq (1+\epsilon) \cdot |qy|$ . Case 2:  $\ell/4 < |qy'| < 2\ell/\epsilon$ .

Note that there is a ball in  $\mathcal{B}_P$  of radius at most 2|qy'| that overlaps q, which implies that there is a quadtree box  $\hat{c} \in \mathcal{C}_P$  of size  $s_{\hat{c}} \leq (\epsilon/(8d)) \cdot |qy'|$  that contains q. Since  $|qy'| \geq \ell/4$  and  $|qy'| \leq |qy| + \ell/8$ , it follows that  $|qy'| \leq 2|qy|$ . Thus  $s_{\hat{c}} \leq (\epsilon/(4d)) \cdot |qy|$ . Further, by construction, x is an  $(\epsilon/4)$ -NN of some point  $z \in \hat{c}$ . Therefore, by Lemma 3.1, it follows that x is an  $\epsilon$ -NN of q.

Case 3:  $|qy'| < \ell/4$ .

Recall that there is a ball in  $\mathcal{B}_P$  centered at y' having radius  $\ell/4$  that overlaps q. This implies that there is a quadtree box  $\hat{c} \in \mathcal{C}_P$  of size  $s_{\hat{c}} \leq (\epsilon/(16d)) \cdot (\ell/4)$  that contains q. Also, by construction, x is an  $(\epsilon/4)$ -NN of some point z in  $\hat{c}$ . Applying the triangle inequality,  $|yz| \leq |yy'| + |y'q| + |qz| \leq \ell/8 + \ell/4 + \ell\epsilon/64$ , and  $|xz| \geq |y'x'| - |x'x| - |y'q| - |qz| \geq \ell - \ell/8 - \ell/4 - \ell\epsilon/64$ . Since  $\epsilon \leq 1/2$ , it follows that  $|yz| \leq 49\ell/128$  and  $|xz| \geq 79\ell/128$ . Thus  $|xz|/|yz| \geq 79/49$ . This contradicts the fact that x is an  $(\epsilon/4)$ -NN of z, given that  $\epsilon$  is at most 1/2. Hence, Case 3 cannot occur. This completes the proof.

We summarize the main result of this section.

Theorem 3.1. Let S be a set of n points in  $\mathbb{R}^d$ , and let  $0 < \epsilon \le 1/2$  be a real parameter. Then we can construct a  $(1,\epsilon)$ -approximate Voronoi diagram for S that consists of  $O(\frac{n}{\epsilon^d}\log\frac{1}{\epsilon})$  regions, where each region is the difference of two cubes. Moreover, for any query point, we can return its  $\epsilon$ -NN in  $O(\log(n/\epsilon))$  time.

In Section 4.1, we will give a method which can be used to reduce the size of the  $(1, \epsilon)$ -AVD in this theorem by a factor of  $\log(1/\epsilon)$ .

## 4 Approximate Voronoi Diagrams: Multiple Representatives

Let S be a set of n points in  $\mathbb{R}^d$ , and let  $0 < \epsilon \le 1/2$  and  $2 \le \gamma \le 1/\epsilon$  be two real parameters. In this section we show how to construct a  $(t,\epsilon)$ -approximate Voronoi diagram for  $t = O(1/(\epsilon\gamma)^{(d-1)/2})$ . We will present two methods. The first method is

easier to analyze and yields an AVD of size  $O(n\gamma^d \log \gamma)$ . The second method reduces the size to  $O(n\gamma^d)$  by exploiting some additional observations. Before giving the constructions, we present four technical lemmas.

LEMMA 4.1. (Chan and Snoeyink [5]) Let  $\triangle xyz$  be a triangle with  $\angle xzy = \theta$ ,  $\angle yxz = \phi$ , and  $\angle xyz \geq \pi/2$ . Then

$$|xy| + |yz| \le (1 + \sin\theta\sin\phi)|xz|.$$

LEMMA 4.2. Let  $\triangle xyz$  be a triangle with  $\angle yxz = \theta$  and  $\max(|xy|, |xz|) \ge |yz|$ . Then  $\theta \le \pi/2$ .

**Proof** Follows from the fact that x must lie outside the circle with |yz| as diameter.

LEMMA 4.3. Let  $\triangle xyz$  be a triangle with  $\triangle yxz = \theta$ . Then  $\sin\theta \le |yz|/\max(|xy|, |xz|)$ .

**Proof** It follows from the law of sines that  $\sin \theta/|yz| \le 1/|xy|$  and  $\sin \theta/|yz| \le 1/|xz|$ . Thus  $\sin \theta \le |yz|/\max(|xy|,|xz|)$ .

Given a set X of points and a point q, let  $NN_q(X)$  be the distance to the nearest neighbor of q in X. (If there are no points in X, then  $NN_q(X)$  is defined to be infinity.)

LEMMA 4.4. Let S be a set of n points in  $\mathbb{R}^d$ . Let  $0 < \epsilon \le 1/2$  and  $\gamma \ge 2$  be two real parameters. Let  $b_1$  and  $b_2$  denote two concentric balls of radius r and  $\gamma r$ , respectively. There exists a set  $R \subseteq S$  consisting of  $\left(1 + O\left(\frac{1}{\sqrt{\epsilon \gamma}}\right)\right)^{d-1}$  points such that

- (i) for any point  $q \in b_1$ ,  $NN_q(R) \le (1+\epsilon) \cdot NN_q(S \cap \overline{b_2})$ , and
- (ii) for any point  $q \in \overline{b_2}$ ,  $NN_q(R) \leq (1+\epsilon) \cdot NN_q(S \cap b_1)$ .

**Proof** We only prove (i), since the proof of (ii) is similar. Let  $b_3$  be the ball  $(3/2)b_1$ . First, we consider the case  $\gamma > 16/\epsilon$ . Let x be any point in  $b_1$  and let  $n_x$  be any point of S that is its  $(\epsilon/2)$ -NN. Along the lines of Lemma 3.1, we can easily show that, for any point  $q \in b_1$ ,  $|qn_x| \leq (1+\epsilon) \cdot NN_q(S \cap \overline{b_2})$ . Thus (i) holds for  $R = \{n_x\}$ .

In the remainder we assume that  $\gamma \leq 16/\epsilon$ . Let R' be a set of points on the boundary of  $b_3$  that is  $\delta$ -dense for the boundary, where  $\delta = r\sqrt{\epsilon\gamma}/16$ . By standard results [13], we can find such a set R' of size  $O(1/(\epsilon\gamma)^{(d-1)/2})$ . For each point  $x \in R'$ , we let  $n_x$  denote any point of S that is its  $(\epsilon/2)$ -NN. We define  $R = \{n_x : x \in R'\}$ . We now show that R satisfies the property given in part (i) of the lemma.

Let q be a point in  $b_1$ . Let p denote the nearest neighbor of q among the points of  $S \cap \overline{b_2}$ . Let y denote the point of intersection of  $\overline{qp}$  with the boundary of  $b_3$ . Let x be the point in R' that is closest to y. We will show that  $|qn_x| \leq (1+\epsilon)|qp|$ , which will imply (i).

By the triangle inequality, we have  $|qn_x| \leq |qx| + |xn_x|$ . Since  $n_x$  is an  $(\epsilon/2)$ -NN of x, we have  $|xn_x| \leq (1 + \epsilon/2)|px|$ . Thus

$$(4.4) |qn_x| \le (1 + \epsilon/2)(|qx| + |px|).$$

In the triangle  $\triangle qpx$ , let  $\theta$  denote  $\angle pqx$  and  $\phi$  denote  $\angle qpx$ . We claim that  $\angle qxp \geq \pi/2, \sin\theta \leq \frac{\sqrt{\epsilon\gamma}}{8}$ , and  $\sin\phi \leq \frac{1}{4}\sqrt{\frac{\epsilon}{\gamma}}$ . Assuming this claim for now and applying Lemma 4.1, we get  $|qx|+|px|\leq (1+\epsilon/32)|qp|$ . Substituting this in Eq. (4.4), and noting that  $\epsilon \leq 1/2$ , we get

$$|qn_x| \le (1 + \epsilon/2)(1 + \epsilon/32)|qp| \le (1 + \epsilon)|qp|,$$

which is the desired result.

To prove the claim, consider  $\triangle yqx$ . Since R' is  $\delta$ -dense for the boundary of  $b_3$ , we have  $|xy| \leq \delta = r\sqrt{\epsilon\gamma}/16$ . Also,  $\max(|qx|,|qy|) \geq r/2$ . Thus  $|xy|/\max(|qx|,|qy|) \leq \sqrt{\epsilon\gamma}/8$ . By Lemma 4.3, it follows that  $\sin\theta \leq \sqrt{\epsilon\gamma}/8$ . Using Lemma 4.2 and the fact that that  $\gamma \leq 16/\epsilon$ , it is easy to see that  $\theta \leq \pi/6$ . We next consider  $\triangle ypx$ . Note that  $\max(|px|,|py|) \geq (\gamma-3/2)r \geq \gamma r/4$ , since  $\gamma \geq 2$ . Thus  $|xy|/\max(|px|,|py|) \leq \frac{1}{4}\sqrt{\frac{\epsilon}{\gamma}}$ . By Lemma 4.3,  $\sin\phi \leq \frac{1}{4}\sqrt{\frac{\epsilon}{\gamma}}$ . Applying Lemma 4.2 and noting that  $\epsilon \leq 1/2$  and  $\gamma \geq 2$ , it follows that  $\phi \leq \pi/6$ . Since  $\theta \leq \pi/6$  and  $\phi \leq \pi/6$ , we have  $\angle qxp \geq \pi/2$ , which completes the proof.

We now describe the first method for constructing the approximate Voronoi diagram. This can be viewed as a generalization of the approach given in Section 3. Indeed, the construction of the subdivision itself is very similar, but the analysis of its properties, and the method used to assign representatives, are different.

Let  $\gamma \geq 2$  and  $\beta \geq 2$  be two real parameters. As in Section 3, we first transform the coordinate system to ensure that the points of S lie inside  $U = [0,1]^d$ , and for any query point outside U, any point of S is an  $\epsilon$ -NN. We then compute a WSPD  $\mathcal{P}_{S,\alpha}$  for S using separation factor  $\alpha = 4$ . For each pair  $P \in \mathcal{P}_{S,4}$ , we compute a set of quadtree boxes as follows. Let P = (X,Y), and let x and y denote the centers of the balls enclosing X and Y, respectively, that satisfy the separation criteria. Let  $\ell = |xy|$ , and let  $\mathcal{B}_P$  denote the set of balls of radius  $2^i\ell$  for  $3 \leq i \leq \lceil \log \beta + 2 \rceil$ , centered at x and y. For a ball  $b \in \mathcal{B}_P$ , let  $\mathcal{C}_b$  be the

set of quadtree boxes overlapping b that have the largest size not exceeding  $\Delta_b = r_b/(32\gamma d)$ , where  $r_b$  denotes the radius of b. Note that  $\mathcal{C}_b = O(\gamma^d)$ . Let  $\mathcal{C}_P = \cup_{b \in \mathcal{B}_P} \mathcal{C}_b$  and  $\mathcal{C} = \cup_{P \in \mathcal{P}_{S,4}} \mathcal{C}_P$ . Clearly  $|\mathcal{C}_P| = O(\gamma^d \log \beta)$  and  $|\mathcal{C}| = O(n\gamma^d \log \beta)$ . Finally we store all the boxes in  $\mathcal{C}$  in a BBD tree T. We will show that the subdivision induced by the leaves of T (for  $\beta$  to be specified later), along with suitably chosen representatives, is the desired approximate Voronoi diagram. Before describing how to choose the representatives, we need to identify a key property that is satisfied by this subdivision.

LEMMA 4.5. Let S be a set of n points in  $\mathbb{R}^d$ , and let  $\gamma \geq 2$ ,  $\beta \geq 2$  be two real parameters. Then it is possible to construct a subdivision consisting of  $O(n\gamma^d \log \beta)$  cells, where each cell c is the difference of two cubes and satisfies the following property. Let  $c = c_O - c_I$ , where  $c_O$  and  $c_I$  denote the outer and inner cube of c, respectively; let s denote the size of c; and let  $b_c$  be the ball of radius sd/2 whose center coincides with the center of  $c_O$  (note that  $c \subseteq b_c$ ). Then either  $|S \cap \gamma b_c| \leq 1$  or there exists a ball  $b'_c$  such that  $S \cap \gamma b_c \subseteq b'_c$  and the ball  $\beta b'_c$  does not overlap c.

**Proof** We claim that the subdivision induced by the leaves of the BBD tree T satisfies the desired condition. The  $O(n\gamma^d \log \beta)$  bound on the number of cells in the subdivision follows from the above discussion.

Let  $c = c_O - c_I$  be any leaf cell. If  $|S \cap \gamma b_c| \leq 1$ , there is nothing to prove. So suppose that  $|S \cap \gamma b_c| > 1$ . Let x and y denote the farthest pair of points in  $S \cap \gamma b_c$ . Let  $b'_c$  be the ball of radius |xy| centered at x. Clearly, all the points in  $S \cap \gamma b_c$  are contained within  $b'_c$ .

It remains to show that the ball  $\beta b'_c$  does not overlap c. To this end, consider the pair P=(X,Y) in the WSPD  $\mathcal{P}_{S,4}$  that separates x and y. Without loss of generality assume that  $x\in X$  and  $y\in Y$ . Let x' and y' denote the centers of the balls enclosing X and Y, respectively, that satisfy the separation criteria. Let  $\ell=|x'y'|$ . Using the definition of well-separatedness and the triangle inequality, we have  $|xx'|\leq \ell/4, |yy'|\leq \ell/4$ , and  $\ell/2\leq |xy|\leq 3\ell/2$ .

We distinguish three cases based on the closest distance L between cell c and x': (1)  $L > 4\beta\ell$ , (2)  $L < 8\ell$ , and (3)  $8\ell \le L \le 4\beta\ell$ .

Case 1:  $L > 4\beta\ell$ .

For any point  $z \in c$ , we have  $|zx'| > 4\beta\ell$ . By the triangle inequality, we get  $|zx| \geq |zx'| - |xx'| > 4\beta\ell - \ell/4 > 31\beta\ell/8$ , since  $\beta \geq 2$ . Since  $|xy| \leq 3\ell/2$ , we get  $|zx| > 31\beta|xy|/12$ . Thus, in this case, ball  $\beta b'_c$  does not overlap c.

Case 2:  $L < 8\ell$ .

Let z be any point in  $c \cap b(x', 8\ell)$ . Recall that  $b(x', 8\ell) \in \mathcal{B}_P$ , and so there must be a quadtree box

 $\hat{c} \in \mathcal{C}_{b(x',8\ell)}$  of size  $s_{\hat{c}} \leq 8\ell/(32\gamma d)$  that contains z. Since  $\ell \leq 2|xy|$  and |xy| is at most  $sd\gamma$  (because both x and y are contained in the ball  $\gamma b_c$ ), we get  $s_{\hat{c}} \leq s/2$ . This is a contradiction since, by construction of the BBD tree  $T, c \subseteq \hat{c}$  and c has size s. Thus this case cannot occur. Case 3:  $8\ell \leq L \leq 4\beta\ell$ .

Clearly c must overlap a ball  $b \in \mathcal{B}_P$  of radius  $r_b$  satisfying  $r_b \leq 2L$ . Let z be any point in  $c \cap b$ . By construction z must be contained in a quadtree box  $\hat{c} \in \mathcal{C}_b$  of size  $s_{\hat{c}} \leq r_b/(32\gamma d) \leq 2|zx'|/(32\gamma d)$ . By the triangle inequality, we have  $|zx'| \leq |zx| + |xx'|$ . Further  $|xx'| \leq \ell/4 \leq |zx'|/32$ . Thus  $|zx'| \leq 32|zx|/31$ . Since z and x are both contained in  $\gamma b_c$ , we have  $|zx| \leq sd\gamma$ . Thus  $s_{\hat{c}} \leq 2s/31$ , which is a contradiction for the same reason as in Case 2. Thus this case too cannot occur. This completes the proof.

Given a real number  $2 \leq \gamma \leq 1/\epsilon$ , we construct the subdivision described in Lemma 4.5, for  $\beta$  = Lemma 4.4 suggests the following approach for assigning representatives to the leaf cells. Let q be a point inside a leaf cell c. Let  $b_c$  and  $b'_c$  be the balls defined in Lemma 4.5. Since c is contained within the ball  $b_c$ , applying Lemma 4.4(i), it follows that we can find a set  $R'_c$  consisting of  $O(1/(\epsilon \gamma)^{(d-1)/2})$ points such that  $NN_q(R'_c) \leq (1 + \epsilon) \cdot NN_q(S \cap \overline{\gamma b_c})$ . For the points inside  $\gamma b_c$  we proceed as follows. If  $|S \cap \gamma b_c| \leq 1$ , we define  $R_c'' = S \cap \gamma b_c$ . Otherwise, by Lemma 4.5,  $S \cap \gamma b_c \subseteq b'_c$  and  $\gamma b'_c$  does not overlap Thus, applying Lemma 4.4(ii), it follows that we can find a set  $R_c^{\prime\prime}$  consisting of  $O(1/(\epsilon\gamma)^{(d-1)/2})$ points such that  $NN_q(R_c'') \leq (1+\epsilon) \cdot NN_q(S \cap b_c') \leq (1+\epsilon) \cdot NN_q(S \cap \gamma b_c)$ . Finally we assign  $R_c =$  $R'_c \cup R''_c$  to be set of representatives for c. Clearly,  $R_c$  has size  $O(1/(\epsilon \gamma)^{(d-1)/2})$  and satisfies the desired property, namely,  $NN_q(R_c) \leq (1 + \epsilon) \cdot NN_q(S)$ . In summary, we have shown that we can construct an  $(O(1/(\epsilon\gamma)^{(d-1)/2}), \epsilon)$ -approximate Voronoi diagram for S that consists of  $O(n\gamma^d \log \gamma)$  cells. In the following subsection, we will show how to improve the bound on the size by a factor of  $\log \gamma$ .

#### 4.1 Size Reduction

Our improved construction is exactly the same as the first one, except that the sizes of the quadtree boxes generated by each pair in the WSPD grow quadratically instead of linearly with distance from the pair. To be precise, we modify the value of the parameter  $\Delta_b$  from  $r_b/(32\gamma d)$  to  $r_b^2/(256\ell\gamma d)$ . With this change, for a ball  $b\in\mathcal{B}_P$ , we have  $|\mathcal{C}_b|=O((\ell\gamma/r_b+1))^d$ . We set  $\beta=\gamma$ , as in the first construction. It is easy to see that  $|\mathcal{C}_P|=\sum_{b\in\mathcal{B}_P}|\mathcal{C}_b|=O(\gamma^d)$ . Thus  $|\mathcal{C}|=O(n\gamma^d)$ , and so the number of leaves in the resulting BBD tree

T is less than that obtained from the first method by a factor of  $\log \gamma$ . We will show that the leaves of T, along with suitable representatives, form a  $(t, \epsilon)$ -AVD for  $t = O(1/(\epsilon \gamma)^{(d-1)/2})$ .

The next two lemmas use ideas similar to Lemmas 4.4 and 4.5, respectively.

Lemma 4.6. Let S be a set of n points in  $\mathbb{R}^d$ . Let  $0 \le \epsilon \le 1/2$  be a real parameter. Let  $b_1$  and  $b_2$  be two disjoint balls of radius  $r_1$  and  $r_2$ , respectively, whose minimum distance of separation is at least  $\ell'$ . Further, suppose that  $\ell' \ge \max(r_1, r_2)$ . Then there exists a set  $R \subseteq S$  consisting of  $\left(1 + O\left(\frac{\sqrt{r_1 r_2}}{\ell' \sqrt{\epsilon}}\right)\right)^{d-1}$  points such that for any point  $q \in b_1$ ,  $NN_q(R) \le (1+\epsilon) \cdot NN_q(S \cap b_2)$ .

**Proof** We will assume that  $r_1 \leq r_2 \leq \ell'$ . The proof for the case  $r_2 \leq r_1 \leq \ell'$  is similar and is omitted.

First, we consider the case  $r_1 < r_2\epsilon/16$ . Let x be any point in  $b_1$  and let  $n_x$  be any point of S that is its  $(\epsilon/2)$ -NN. Along the lines of Lemma 3.1, we can easily show that, for any point  $q \in b_1$ ,  $|qn_x| \leq (1+\epsilon) \cdot NN_q(S \cap b_2)$ . Thus the lemma holds for  $R = \{n_x\}$ .

In the remainder we assume that  $r_2 \epsilon / 16 \le r_1 \le r_2$ . In order to describe the set R, we need some definitions. Let  $o_1$  and  $o_2$  denote the centers of the balls  $b_1$  and  $b_2$ , respectively. The line  $o_1o_2$  intersects the boundary of  $b_1$  at two points; let  $z_1$  denote that point of intersection that is farther from  $o_2$ . Similarly line  $o_1o_2$  intersects the boundary of  $b_2$  at two points; let  $z_2$  denote that point of intersection that is closer to  $o_1$ . (See Fig. 1.) Let  $\Lambda_1$ and  $\Lambda_2$  denote the (d-1)-disks orthogonal to line  $o_1o_2$ , centered at  $z_1$  with radius  $r_1$ , and centered at  $z_2$  with radius  $r_2$ , respectively. We define  $\Pi$  to be the truncated cone with bases  $\Lambda_1$  and  $\Lambda_2$  (that is,  $\Pi$  contains points that lie on any line segment joining a point in  $\Lambda_1$  with a point in  $\Lambda_2$ ). Let h be the hyperplane orthogonal to  $\overline{o_1o_2}$ , and at distance  $r_1 + \ell' r_1/(2r_2)$  from  $o_1$  (towards  $o_2$ ), and let  $\Lambda$  denote the (d-1)-disk  $\Pi \cap h$ . We choose R'to be any set of points on h that is  $\delta$ -dense for  $\Lambda$ , where  $\delta = \frac{\ell'}{16} \sqrt{\frac{\epsilon r_1}{r_2}}$ . Note that we can easily compute a set R'satisfying this property and having size  $(1+O(r/\delta))^{d-1}$ , where r denotes the radius of disk  $\Lambda$ . Finally, we put  $R = \{n_x : x \in R'\}$ , where  $n_x$  denotes any  $(\epsilon/2)$ -NN of

To bound the size of R, observe that the intersection of a hyperplane orthogonal to line  $o_1o_2$  (that is, the axis of the truncated cone  $\Pi$ ) with  $\Pi$  is a (d-1)-disk whose radius increases linearly as we traverse from  $z_1$  to  $z_2$ . Thus

$$r = r_1 + \frac{2r_1 + \ell' r_1/(2r_2)}{2r_1 + \ell'} (r_2 - r_1)$$

$$\leq r_1 + \frac{2r_1 + \ell' r_1/(2r_2)}{\ell'} r_2 \leq \frac{7}{2} r_1,$$

since  $r_2 \leq \ell'$ . Recalling that  $|R| \leq |R'| = (1 + O(r/\delta))^{d-1}$ , and using this bound on r, we easily obtain the bound on |R| given in the statement of the lemma.

We next show that R satisfies the property given in the statement of the lemma. Let q be any point in  $b_1$  and let p be its nearest neighbor among the points of  $S \cap b_2$ . It is easy to see that segment  $\overline{qp}$  must intersect disk  $\Lambda$ . Let p denote this point of intersection. Since R' is  $\delta$ -dense for  $\Lambda$ , there must be a point  $x \in R'$  such that  $|xy| \leq \delta$ . We will show that  $|qn_x| \leq (1+\epsilon)|qp|$ .

By the triangle inequality, we have  $|qn_x| \leq |qx| + |xn_x|$ . Since  $n_x$  is an  $(\epsilon/2)$ -NN of x, we have  $|xn_x| \leq (1+\epsilon/2)|px|$ . Thus  $|qn_x| \leq (1+\epsilon/2)(|qx|+|px|)$ . In  $\triangle qpx$ , let  $\theta$  denote  $\angle pqx$  and  $\phi$  denote  $\angle qpx$ . We claim that  $\angle qxp \geq \pi/2$ ,  $\sin \theta \leq \frac{1}{8}\sqrt{\frac{\epsilon r_2}{r_1}}$ , and  $\sin \phi \leq \frac{1}{8}\sqrt{\frac{\epsilon r_1}{r_2}}$ . Assuming this for now and applying Lemma 4.1, we get  $|qx|+|px|\leq (1+\epsilon/64)|qp|$ . Thus  $|qn_x|\leq (1+\epsilon/2)(1+\epsilon/64)|qp|\leq (1+\epsilon)|qp|$ , as desired.

It remains only to prove the above claim. To this end, note that  $|xy| \leq \delta = \frac{\ell'}{16} \sqrt{\frac{\epsilon r_1}{r_2}}$ . Also,  $\max(|qx|,|qy|) \geq \ell' r_1/(2r_2)$  and  $\max(|px|,|py|) \geq \ell' - \ell' r_1/(2r_2) \geq \ell'/2$ . Applying Lemma 4.3 to  $\triangle yqx$  and  $\triangle ypx$ , respectively, the desired bounds on  $\sin\theta$  and  $\sin\phi$  easily follow. Finally, noting that  $r_2\epsilon/16 \leq r_1 \leq r_2, \ \epsilon \leq 1/2$ , and using Lemma 4.2 on  $\triangle yqx$  and  $\triangle ypx$ , we can easily show that  $\theta \leq \pi/6$  and  $\phi \leq \pi/6$ . Thus  $\angle qxp \geq \pi/2$ , which completes the proof.

Lemma 4.7. Let S be a set of n points in  $\mathbb{R}^d$ , and let  $\gamma \geq 2$  be a real parameter. Then it is possible to construct a subdivision consisting of  $O(n\gamma^d)$  cells, where each cell c is the difference of two cubes and satisfies the following property. Let  $c = c_O - c_I$ , where  $c_O$  and  $c_I$  denote the outer and inner cube of c, respectively; let c0 denote the size of c1; and let c2 be the ball of radius c3 whose center coincides with the center of c4 (note that c5 bc2). Then one of the following three possibilities must hold:

- (i)  $|S \cap \gamma b_c| \leq 1$ .
- (ii) There exists a ball  $b'_c$  such that  $S \cap \gamma b_c \subseteq b'_c$  and the ball  $\gamma b'_c$  does not overlap c.
- (iii) There exists a ball  $b'_c$  such that  $S \cap \gamma b_c \subseteq b'_c$  and  $\ell' \ge \max(r_1, r_2)$  and  $\ell' / \sqrt{r_1 r_2} \ge 5 \sqrt{\gamma}$ . Here  $\ell'$  denotes the minimum distance of separation between  $b_c$  and  $b'_c$ , and  $r_2$  denotes the radius of ball  $b'_c$ .

**Proof** We claim that the subdivision induced by the leaves of the BBD tree T satisfies the desired condition.

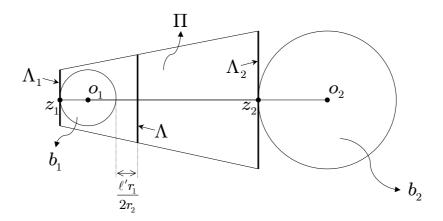


Fig. 1: Proof of Lemma 4.6.

The bound on the number of cells follows from the remarks given in the first paragraph of this subsection.

We borrow all the notation from the proof of Lemma 4.5, and set  $\beta=\gamma$ . The reader can easily check that the arguments given in the proof of Lemma 4.5 apply, except for a crucial difference in the argument for Case 3, which is the only case we will consider here. Case 3:  $8\ell \le L \le 4\gamma\ell$ .

We will show that possibility (iii) holds in this case. To this end, we estimate  $r_1, r_2$ , and  $\ell'$ .

Clearly c must overlap a ball  $b \in \mathcal{B}_P$  of radius  $r_b$  satisfying  $r_b \leq 2L$ . Let z be any point in  $c \cap b$ . By construction z must be contained in a quadtree box  $\hat{c} \in \mathcal{C}_b$  of size  $s_{\hat{c}} \leq r_b^2/(k\ell\gamma d) \leq 4L^2/(k\ell\gamma d)$ , where k=256. Since  $c \subseteq \hat{c}$ , this bound on  $s_{\hat{c}}$  also applies to s. Thus  $r_1=sd/2\leq 2L^2/(k\ell\gamma)$ . Since  $L\leq 4\gamma\ell$ , we obtain  $r_1\leq 8L/k$ .

Further,  $r_2 = |xy| \le 3\ell/2$ . Since  $L \ge 8\ell$ , we obtain  $r_2 \le 3L/16$ .

Since  $z \in b_c$  and  $x' \in b'_c$ , by the triangle inequality,  $\ell'$ , the minimum distance of separation between  $b_c$  and  $b'_c$  is at least  $L-2r_1-2r_2 \geq L-16L/k-3L/8$ . Substituting k=256 in the above, we get  $r_1 \leq L/32, r_2 \leq 3L/16$ , and  $\ell' \geq 9L/16$ . Thus  $\ell' \geq \max(r_1, r_2)$ . Further,

$$\frac{\ell'}{\sqrt{r_1 r_2}} \ge \frac{9L/16}{\sqrt{\frac{2L^2}{256\ell\gamma} \cdot \frac{3\ell}{2}}} \ge 5\sqrt{\gamma},$$

as desired.

We assign representatives to the leaves of T as follows. Let q be a point inside a leaf cell c. Let  $b_c$  and  $b'_c$  be the balls defined in Lemma 4.7. Since c is contained within the ball  $b_c$ , applying Lemma 4.4(i), it follows that we can find a set  $R'_c$  consisting of  $O(1/(\epsilon \gamma)^{(d-1)/2})$ 

points such that  $NN_q(R'_c) \leq (1+\epsilon) \cdot NN_q(S \cap \overline{\gamma b_c})$ . For the points inside  $\gamma b_c$  we proceed as follows. Note that one of the three cases given in the statement of Lemma 4.7 must hold. If Case (i) holds (that is,  $|S \cap \gamma b_c| \leq 1$ ), then we define  $R''_c = S \cap \gamma b_c$ . If the Case (ii) (Case (iii)) holds then, by Lemma 4.4(ii) (Lemma 4.6), it follows that we can find a set  $R''_c$  consisting of  $O(1/(\epsilon \gamma)^{(d-1)/2})$  points such that  $NN_q(R''_c) \leq (1+\epsilon) \cdot NN_q(S \cap b'_c) \leq (1+\epsilon) \cdot NN_q(S \cap \gamma b_c)$ . Finally we assign  $R_c = R'_c \cup R''_c$  to be set of representatives for c. Clearly,  $R_c$  has size  $O(1/(\epsilon \gamma)^{(d-1)/2})$  and satisfies the desired property, namely,  $NN_q(R_c) \leq (1+\epsilon) \cdot NN_q(S)$ .

Given a query point q, we can determine the leaf containing q in  $O(\log(n\gamma))$  time. By computing the distance from q for each of the stored representatives, we can answer queries in  $O(\log(n\gamma) + 1/(\epsilon\gamma)^{(d-1)/2})$  time. We summarize the main result of this section.

Theorem 4.1. Let S be a set of n points in  $\mathbb{R}^d$ , and let  $0 < \epsilon \le 1/2$  and  $2 \le \gamma \le 1/\epsilon$  be two real parameters. Then we can construct an  $(O(1/(\epsilon\gamma)^{(d-1)/2}), \epsilon)$ -approximate Voronoi diagram for S that consists of  $O(n\gamma^d)$  regions, where each region is the difference of two cubes. Moreover, for any query point, we can return its  $\epsilon$ -NN in  $O(\log(n\gamma) + 1/(\epsilon\gamma)^{(d-1)/2})$  time. Here the constants in the O-notation are independent of  $\epsilon$  and  $\gamma$ .

Based on this theorem we obtain a family of data structures that can answer  $\epsilon$ -NN queries in  $O(\log(n\gamma) + 1/(\epsilon\gamma)^{(d-1)/2})$  time using space  $O(n(\gamma/\epsilon)^{(d-1)/2}\gamma)$ . Setting  $\gamma$  to two we obtain the most space-efficient solution in this family, which we present in the following corollary.

COROLLARY 4.1. Given a set S of n points in  $\mathbb{R}^d$ , we can answer  $\epsilon$ -NN queries in  $O(\log n + 1/\epsilon^{(d-1)/2})$  time

using a data structure of size  $O((1/\epsilon)^{(d-1)/2}n)$ .

**Remark:** Using ideas similar to those given in this subsection, we can reduce the size of the  $(1, \epsilon)$ -AVD in Theorem 3.1 to  $O(n/\epsilon^d)$ . We leave the details to the interested reader.

**Remark:** Instead of building the AVD by using the well-separated pair decomposition, an alternative method is to first build a *smoothed* box decomposition (BD) tree [10] and then suitably refine it. But this solution appears to be more complicated than the one we have presented here.

# 5 Lower Bound on Size of $(1, \epsilon)$ -Approximate Voronoi Diagram

Throughout this section we will assume that  $\epsilon$  is a sufficiently small constant (depending on dimension d). The following lemma is needed for the lower bound argument.

LEMMA 5.1. Let  $\epsilon \leq 1$  and let p,q be any two points in  $\mathbb{R}^d$  such that |pq|=1. Let h be the hyperplane orthogonal to  $\overline{pq}$  and passing through its midpoint. Let x be a point at distance at most one from  $\overline{pq}$ , at distance  $2\epsilon$  from h, and on the same side of h as p. Then  $|xq| > (1+\epsilon)|xp|$ .

**Proof** Let m be the midpoint of  $\overline{pq}$  and x' be the orthogonal projection of x onto  $\overline{pq}$ . We have

$$\begin{split} \frac{|xq|^2 - |xp|^2}{|xp|^2} &= \frac{(\overrightarrow{xq} + \overrightarrow{xp}) \cdot (\overrightarrow{xq} - \overrightarrow{xp})}{|xx'|^2 + |x'p|^2} \geq \frac{2 \, \overrightarrow{xm} \cdot \overrightarrow{pq}}{|xx'|^2 + |mp|^2} \\ &\geq \frac{2 \, |x'm|}{1 + \frac{1}{4}} > \, 3\epsilon. \end{split}$$

Adding one to both sides, we get  $|xq|^2/|xp|^2 > 1 + 3\epsilon$ . Since  $\epsilon \le 1$ , it follows that  $|xq| > (1 + \epsilon)|xp|$ .

For the lower bound, let S consist of n points in the form of pairs  $(p_i,q_i), 1 \leq i \leq n/2$ , such that for each pair, the vector  $\overline{p_iq_i}$  has all coordinates equal to  $1/\sqrt{d}$ . Further, for any two points in different pairs, the distance between them is at least 8. These conditions are obviously easy to ensure. Let  $\mathcal{C}$  be the set of cells in a  $(1,\epsilon)$ -AVD for S. We assume that each cell of  $\mathcal{C}$  is an axis-aligned hyperrectangle or the difference of two axis-aligned hyperrectangles. Our goal is to show that  $|\mathcal{C}| = \Omega(n/\epsilon^{d-1})$ . Observe that if a cell is the difference of two axis-aligned hyperrectangles, then we can partition it into a constant number of axis-aligned hyperrectangles. Thus, it suffices to prove that  $|\mathcal{C}| = \Omega(n/\epsilon^{d-1})$ , when the cells of  $\mathcal{C}$  are axis-aligned hyperrectangles.

For a pair of points  $(p_i,q_i)$ , let  $h_i$  be the hyperplane orthogonal to  $\overline{p_iq_i}$  and passing through its midpoint, and let  $\Gamma_i$  be the cylinder consisting of points at distance at most one from  $\overline{p_iq_i}$  and at distance at most  $2\epsilon$  from  $h_i$ . (See Fig. 2.) Since the distance between any two points belonging to different pairs is at least 8, it is easy to verify that only  $p_i$  or  $q_i$  can be the representative for a cell that intersects  $\Gamma_i$  (assuming  $\epsilon < 1$ ). It follows that no cell can intersect both  $\Gamma_i$  and  $\Gamma_j$ , for  $i \neq j$ . Thus, it suffices to show that the number of cells of  $\mathcal C$  that intersect  $\Gamma_i$  is  $\Omega(1/\epsilon^{d-1})$ .

To this end, define a cylinder  $\Gamma_i'$  to be the set of points at distance at most  $(1-5\epsilon\sqrt{d})$  from  $\overline{p_iq_i}$  and at distance at most  $2\epsilon$  from  $h_i$ . (Note that  $\Gamma_i'\subseteq\Gamma_i$ .) For sufficiently small  $\epsilon$ , the volume of  $\Gamma_i'$  is clearly  $\Omega(\epsilon)$ . Let  $c\in\mathcal{C}$  be any cell that intersects  $\Gamma_i'$ . We will show that the volume of the region  $c\cap\Gamma_i'$  is at most  $O(\epsilon^d)$ , which will imply that the number of cells of  $\mathcal{C}$  that intersect  $\Gamma_i'$ , and hence  $\Gamma_i$ , is  $\Omega(1/\epsilon^{d-1})$ .

Let  $h_i^1$  and  $h_i^2$  denote the hyperplanes obtained by translating  $h_i$  by distance  $2\epsilon$  towards  $p_i$  and  $q_i$ , respectively. Let  $f_i^1$  and  $f_i^2$  denote the faces of cylinder  $\Gamma_i$  contained in  $h_i^1$  and  $h_i^2$ , respectively. By Lemma 5.1, it follows that for any point x on  $f_i^1$ , we have  $|xq_i| > (1+\epsilon)|xp_i|$ . By symmetry, any point y on  $f_i^2$  satisfies  $|yp_i| > (1+\epsilon)|yq_i|$ . Thus, c cannot intersect both  $f_i^1$  and  $f_i^2$ . We will need this fact later in the proof.

Let  $v^+$  and  $v^-$  denote the vertices of c that have, among the vertices of c, the highest coordinate along all dimensions, and the lowest coordinate along all dimensions, respectively. Clearly  $v^+$  lies on the same side of  $h_i^1$  as  $q_i$  and  $v^-$  lies on the same side of  $h_i^2$  as  $p_i$ . We claim that either  $v^+$  or  $v^-$  must lie inside  $\Gamma_i$ . For the sake of contradiction, suppose that both  $v^+$  and  $v^-$  lie outside  $\Gamma_i$ . Let y be any point in  $c \cap \Gamma'_i$  and let z denote the point of intersection of  $\overline{yv^+}$  with the boundary of  $\Gamma_i$ . Clearly z must lie either on  $f_i^2$  or on the cylinderical face of  $\Gamma_i$ . Let  $\theta$  be the angle that  $\overline{yv^+}$ makes with the axis  $\overline{p_i}\overrightarrow{q_i}$  of cylinder  $\Gamma_i$ . Then it is easy to see that |yz| is at most  $4\epsilon/\cos\theta$  (recall that the height of cylinder  $\Gamma_i$  is  $4\epsilon$ ). Further, by convexity of c, z lies inside c, and so  $\cos \theta \ge 1/\sqrt{d}$ . Thus  $|yz| \le 4\epsilon \sqrt{d}$ . Since the minimum distance between the cylinderical faces of  $\Gamma_i'$  and  $\Gamma_i$  is  $5\epsilon\sqrt{d}$ , it follows that z must lie on  $f_i^2$ . Thus c intersects  $f_i^2$ . By a symmetric argument, we can show that c intersects  $f_i^1$  as well. But this contradicts the fact shown earlier that c cannot intersect both  $f_i^1$  and

We have thus proved that either  $v^+ \in \Gamma_i$  or  $v^- \in \Gamma_i$ . Without loss of generality, suppose that  $v^- \in \Gamma_i$ . Clearly the volume of  $c \cap \Gamma'_i$  is no more than the volume of  $c \cap R$ , where R denotes the infinite region contained between the hyperplanes  $h^1_i$  and  $h^2_i$ . This volume is

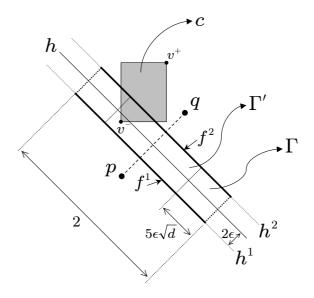


Fig. 2: Lower bound construction.

maximized in the limit as  $v^-$  touches  $h_i^1$  and each edge of c incident to  $v^-$  extends upto  $h_i^2$ . This quantity is easily seen to be at most  $(4\epsilon\sqrt{d})^d/2 = O(\epsilon^d)$ , which completes the proof. We have established the following lower bound.

Theorem 5.1. Assuming that the cells of a  $(1,\epsilon)$ -approximate Voronoi diagram are axis-aligned hyper-rectangles or differences of two axis-aligned hyperrectangles, its size in the worst case is  $\Omega(n/\epsilon^{d-1})$ .

## 6 Conclusions

Along with David Mount, we have recently improved several of the results given in this paper [1]. In particular, we show that we can construct a  $(t, \epsilon)$ -AVD consisting of  $O(n\epsilon^{(d-1)/2}\gamma^{(3d-1)/2})$  cells for  $t = O(1/(\epsilon\gamma)^{(d-1)/2})$ . This yields a data structure of  $O(n\gamma^d)$  space (including the space for representatives) that can answer  $\epsilon$ -NN queries in time  $O(\log(n\gamma) + 1/(\epsilon\gamma)^{(d-1)/2})$ .

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